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UNITED STATES DEPARTMENT OF COMMERCE

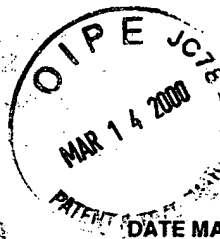
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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JOHN FINNELSON  
CHIEF INTELLECTUAL PROPERTY COUNSEL  
DAINT CORPORATION  
157 CUMFORD ROAD  
ATLANTA GA 30301



DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Sector  
\$

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Rainald FORBERT, Fritz SCHWERTFEGER and Johannes HARTEL

Serial No: 09/447,030

Group: 1754

Filed: November 22, 1999

For: **METHOD FOR PRODUCING SUBSTANTIALLY GLOBULAR  
LYOGELS AND AEROGELS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

***COMPLETION OF FILING REQUIREMENTS***

- I. In response to the "Notice of Missing Parts of Application," (Form PTO-1533) mailed December 22, 1999, enclosed please find the following documents for the above-identified application.

- ☒ A copy of the "Notice to File Missing Parts of Application -- Filing Date Granted" (Form PTO-1533).
- ☒ Three (3) original combined Declarations and Powers of Attorney.


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**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that this paper (along with any paper referred to as attached or enclosed) is being deposited with the United States Postal Service on this date, March 14, 2000, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL163522933US addressed to: Box Missing Parts, The Assistant Commissioner for Patents, Washington, D. C. 20231.

Marlene R. Fitch

Name of Person Mailing Paper

  
Signature of Person Mailing Paper

03/16/2000 HIRSHMAN 00000087 09447030

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***LARGE ENTITY STATUS***

II. Applicant is other than a small entity.

***COMPLETION FEES***

III. Completion Fees

1. Filing Fee

☐ Original Patent Application \$  
(37 CFR 1.16(a) -- \$770.00)

2. Fees for claims

☐ each independent claim in excess of 3 \$  
(37 CFR 1.16(b) -- \$80.00)

☐ each claim in excess of 20 \$  
(37 CFR 1.16(c) -- \$22.00)

☐ multiple dependent claim(s) \$  
(37 CFR 1.16(d) -- \$260.00)

3. Surcharge Fees

☐ late payment of filing fee  
and/or

☒ late filing of original declaration or oath \$ 130.00  
(37 CFR 1.16(e) -- \$130.00)

***EXTENSION OF TERM***

IV. Extension of Term

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- ☒ Applicant petitions for an extension of time under 37 CFR 1.17(a)-(d) for the total number of months checked below:

Extension (months)		Fee for other than small entity
<input checked="" type="checkbox"/> One month	\$	110.00
<input type="checkbox"/> Two months	\$	390.00
<input type="checkbox"/> Three months	\$	930.00
<input type="checkbox"/> Four months	\$	1470.00

Extension Fee Due \$ 110.00

- ☒ *If an additional extension of time is required please consider this a petition therefor.*
- ☐ An extension for      month(s) has already been secured and the fee paid therefor of \$      is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ .

or

- ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

***TOTAL FEE DUE***

V. The total fee due is:

<input checked="" type="checkbox"/> Completion Fee(s)	\$	130.00
<input checked="" type="checkbox"/> Extension Fee (if any)	\$	110.00

**Total Fee Due \$ 240.00**

**PAYMENT OF FEES**

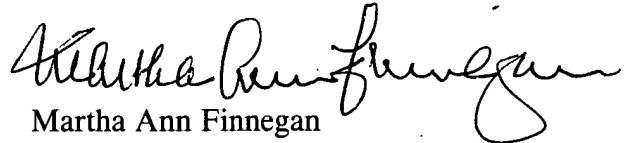
- VI. ☐ Enclosed is a check in the amount of \$ .
- ☒ Please charge **Deposit Account Number 03-0060** of Cabot Corporation the sum of **\$240.00**.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

- VII. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper under 37 CFR 1.16, or processing fees under 37 CFR 1.17 associated with this communication and during the entire pendency of this application to **Deposit Account Number 03-0060**. Please credit any over-payments to **Deposit Account Number 03-0060**.

**This transmittal is being filed in duplicate.**

Respectfully submitted,



Martha Ann Finnegan  
Reg. No. 31,453  
Cabot Corporation  
Law Department  
157 Concord Road  
Billerica, MA 01821

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